10-06-04

Attorney Docket No. 0320-0020 HOOV 120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Michael D. Hooven Serial No.: 10/015,476 Filed: December 13, 2001 Group Art No.: 3739 Examiner: Rosiland S. Rollins For: TRANSMURAL ABLATION DEVICE

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No .:

EL 613567745 US

Date of Deposit October 5, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-

NAME Kathleen Johnson

SIGNATURE 7

Certificate of Mailing Under 37 CFR 1.10

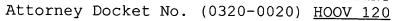
I hereby certify that the correspondence listed below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, Label No. EL 613567745 US under 37 CFR 1.10 on October 5, 2004 and is addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- Transmittal Form PTO/SB/21 (1 sheet); 1.
- 2. Information Disclosure Statement (3 sheets), in duplicate;
- 3. PTO/SB/08A (1 sheet);
- U.S. Patent Publications (3); 4.
- 5. Certificate of Mailing (1 sheet); and
- 6. Return Receipt Postcard.

Name:

٦ (TRANSMITTAL FORM (to be used for all correspondence after initial Total Number of Pages in This Submission	filing)	U.S. Paten s are required to respond to a collectic Application Number Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number	t and Trademark Office of information unless 10/015,476 December 13, Michael D. Ho 3739 Rosiland S. Ro 0320-0020 (Ho	2001 oven	
	Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request X Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s)	Aft to to for for for for for for for for for fo	Group peal Con Appeals peal Con peal Not oprietary atus Lett ner Encle stiffy bel 8/08A (t Publi ate of N	osure(s) (please ow): (1 sheet) cations
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
	Cook, Alex, McFarron, Manzo, Cummings, and Mehler, Ltd. Renee Barthel, Registration No. 48,356 Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Tax CRASS Shail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or the date shown below.					
_	Typed or printed name Kathleen Joh Signature	7	Ahnan		Date	October 5, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



OT OS TOUR DES

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	CERTIFICATE OF MAILING BY "EXPRESS
Michael D. Hooven)	MAIL" "Express Mail" Mailing Label No.:
) (Carried No. 10/015 476	EL613567745US
Serial No.: 10/015,476)	Date of Deposit: October 5, 2004 I hereby certify that this paper or fee is being
Filed: December 13, 2001	deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is
Group Art No.: 3739	addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.
Examiner: Rosiland S. Rollins)	NAME Kathleen Johnson
For: TRANSMURAL ABLATION DEVICE)	SIGNATURE HATHUM JOHNSON

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.97, Applicant hereby calls the Examiner's attention to the documents listed on the attached form, which documents may be material to the examination of this application. Copies of the documents are enclosed herewith for the Examiner's consideration.

No inference should be drawn that any apparatus or method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed document is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of the document herein is not to be construed as an admission that any subject matter disclosed in the document

is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of the document discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action after the filing of a request for continued examination under § 1.114. Accordingly, it is believed that a fee is not required for the submission of this information disclosure under 37 C.F.R. § 1.97(b)(4)

In addition, the first reference cited was first called to Applicant's attention in an Office Action dated September 8, 2004 in related Application Number 10/328,057 filed on December 23, 2002. The second and third cited references were first called to Applicant's attention in an Office Action dated September 8, 2004 in related Application Number 10/015,868 filed on December 12, 2001. Accordingly, pursuant to 37 C.F.R. § 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the

person signing this certification after making a reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement. Consequently, as provided in 37 C.F.R. § 197(c), a fee under § 1.17(p) is not required for the filing of this information disclosure. However, if it is determined that an additional fee is required, then authorization is hereby granted to charge Deposit Account 50-1039 any additional fee required. (A duplicate copy of this document is enclosed.)

Date: October 5, 2004

Respectfully submitted,

By: Renée C. Barthel, Esq.

Registration No. 48,356

Cook, Alex, McFarron, Manzo,

Cummings & Mehler, Ltd.

200 West Adams St., Suite 2850

Chicago, IL 60606

Telephone: (312) 236-8500

Attorneys for Applicant

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995 persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449 TRADE

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Complete if Known				
Application Number	10/015,476			
Filing Date	December 13, 2001			
First Named Inventor	Michael D. Hooven			
Art Unit	3739			
Examiner Name	Rosiland S. Rollins			
Attorney Docket Number	0320-0020 (HOOV 120)			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (F known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- C 002 450	07.04.2000	A-naion at al	· ·gares · ppea
		^{US-} 6,083,150	07-04-2000	Aznoian, et al.	
	2	^{US-} 6,113,598	09-05-2000	Baker	
	3	^{US-} 6,162,220	12-19-2000	Nezhat	
		US-			
		US-		-	
		US-			
		US-	<u> </u>		
		US-			
		us-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (# known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear		
			MM-DD-YYYY			1	
						Ī	
						L	
						L	
						Γ	

Examiner	Date	
Signature	Considered	
	1	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.